

PROPOSED TEMPLATE AND INSTRUCTIONS FOR SUBMISSION OF CURRENT AND PENDING (OTHER) SUPPORT INFORMATION

The individual agrees to update this disclosure at the request of the Federal research funding agency prior to the award of support and at any subsequent time the agency determines appropriate during the term of the award. (Refer to the Federal research funding agency's policy on updating award support).

Instructions for Completion of the Current and Pending Support Template

Current and Pending (Other) Support¹ information is used to assess the capacity of the individual to carry out the research as proposed and helps assess any potential scientific and budgetary overlap/duplication, as well as overcommitment with the project being proposed. Note that there is no page limitation for this section of the application, though some fields have character limitations for consistency and equity.

This document provides instructions on submission of current and pending (other) support information for each individual identified as senior/key personnel on Federally funded research projects. For purposes of this template, senior/key personnel include the individuals listed by the applicant/awardee organization and approved by the Federal research funding agency who contribute in a substantive, meaningful way to the scientific development or execution of a research and development project proposed to be carried out with a research and development award.²

A separate submission must be provided for each active project/pending proposal as well as in-kind contributions using the format specified below.

Consistent with NSPM-33, individuals are required to disclose contracts associated with participation in programs sponsored by foreign governments, instrumentalities, or entities, including foreign government-sponsored talent recruitment programs³ Further, if individuals receive direct or indirect support that is funded by a foreign government-sponsored talent

¹ According to 42 U.S.C §§ 6605, "current and pending support": (A) means all resources made available, or expected to be made available, to an individual in support of the individual's research and development efforts, regardless of (i) whether the source of the resource is foreign or domestic; (ii) whether the resource is made available through the entity applying for a research and development award or directly to the individual; or (iii) whether the resource has monetary value; and (B) includes in-kind contributions requiring a commitment of time and directly supporting the individual's research and development efforts, such as the provision of office or laboratory space, equipment, supplies, employees, or students.

² In accordance with the NSPM-33 Implementation Guidance, senior personnel typically do not include graduate students.

³ In accordance with the NSPM-33 Implementation Guidance, a "**Foreign government-sponsored talent recruitment program**" is defined as an effort organized, managed, or funded by a foreign government, or a foreign government instrumentality or entity, to recruit science and technology professionals or students (regardless of citizenship or national origin, or whether having a full-time or part-time position). Some foreign government-sponsored talent recruitment programs operate with the intent to import or otherwise acquire from abroad, sometimes through illicit means, proprietary technology or software, unpublished data and methods, and intellectual property to further the military modernization goals and/or economic goals of a foreign government. Many, but not all, programs aim to incentivize the targeted individual to relocate physically to the foreign state for the above purpose. Some programs allow for or encourage continued employment at United States research facilities or receipt of Federal research funds while concurrently working at and/or receiving compensation from a foreign institution, and some direct participants not to disclose their participation to United States entities. Compensation could take many forms including cash, research funding, complimentary foreign travel, honorific titles, career advancement opportunities, promised future compensation, or other types of remuneration or consideration, including in-kind compensation.

recruitment program, even where the support is provided through an intermediary and does not require membership in the foreign government-sponsored talent recruitment program, that support must be disclosed. Individuals must also report other foreign government sponsored or affiliated activity.

A table entitled, [*NSPM-33 Implementation Guidance Pre- and Post-award Disclosures Relating to the Biographical Sketch and Current and Pending \(Other\) Support*](#)⁴ has been created to provide helpful reference information regarding pre-award and post-award disclosures. The table includes the types of activities to be reported, where such activities must be reported in the application, as well as when updates are required in the application and award lifecycle. A final column identifies activities that are not required to be reported.

Do not submit any personal information in the current and pending (other) support. This includes items such as: home address; home telephone, fax, or cell phone numbers; home e-mail address; driver's license number; marital status; personal hobbies; and the like. Such personal information is not appropriate for the current and pending support template and is not relevant to the merits of the proposal. The Federal research funding agency is not responsible or in any way liable for the release of such material.

Note: Federal research funding agencies may add agency specific categories which must be cleared by OMB/OIRA prior to implementation.

The formats of current and pending (other) support are as follows:

*** = required**

Identifying Information

***Name:** Enter the name of the senior/key person (Last name, First Name, and Middle Name, including any applicable suffix).

Persistent Identifier (PID) of the Senior/Key Person: Enter the PID of the senior key person. The PID is a unique, open digital identifier that distinguishes the individual from every other researcher with the same or a similar name.

***Position Title:** Enter the current position title of the senior/key person.

***Organization and Location**

Name: Enter the name of the primary organization of the senior/key person.

Location: Enter the City, State/Province, and Country where the primary organization is located. If the State/Province is not applicable, enter N/A.

⁴ This table supersedes in its entirety, Table 2a and Paragraph 7 of the Disclosure Requirements and Standardization Section of the NSPM-33 Implementation Guidance.

Projects/Proposals

In this section, please disclose ALL existing projects, as well as all projects currently under consideration for funding, in accordance with the definitions for “current” and “pending” below. There is no page or character limit.

***Project/Proposal Title:** Enter the title of each project/proposal being reported.

***Status of Support:** Select the appropriate status type as defined below:

- **Current** – all ongoing projects, or projects with ongoing obligations, from whatever source irrespective of whether such support is provided through the proposing organization or is provided directly to the individual.
- **Pending** – any proposal currently under consideration for funding (including this proposal) from whatever source irrespective of whether such support is provided through the proposing organization or is provided directly to the individual.

Proposal/Award Number (if available): Enter the applicable proposal/award number for each (proposal and/or award), if available.

***Source of Support:** Identify the entity for each proposal and/or award that is providing the support. Include all Federal, State, Tribal, territorial, local, foreign, public, or private foundations, non-profit organizations, industrial or other commercial organizations, or internal funds allocated toward specific projects.

***Primary Place of Performance:** Identify the primary location where the project or activity is being executed. Enter the City, State/Province, and Country where the organization is located. If the State/Province is not applicable, enter N/A. Indicate “virtual” if the project is not based in a physical location.

***Project/Proposal Start Date:** Indicate the start date (MM/YYYY) of the project/activity as proposed/approved.

***Project/Proposal End Date:** Indicate the end date (MM/YYYY) of the project/activity as proposed/approved.

***Total Award Amount:** Enter the total award amount for the entire period of performance, including indirect costs, rounded to the nearest dollar. If the support is in a foreign country's currency, convert to US dollars at time of submission.

Person-Month(s) (or Partial Person-Months) Per Year Committed to the Project: Enter how much time the individual anticipates is necessary to complete the scope of work on the proposed project or award. Enter the number of person-months (even if unsalaried) for the current budget period and enter the proposed person-months for each subsequent budget period. If the individual is reporting person-months that span two calendar years, the individual should enter the latter year. For example, if the entry covers the organization's fiscal year of June 2023 through May 2024, the individual would enter “2024” for the year and include the corresponding person-months as defined and used by the organization in proposals submitted to the Federal research funding agency. If the time commitment is not readily ascertainable, reasonable estimates should be provided.

***Overall Objectives:** Provide a brief statement of the overall objectives of the proposal/award. This field is limited to 1500 characters.

***Statement of Potential Overlap:** Enter a description of the potential overlap with any pending proposal or award and this proposal in terms of scope, budget, or person-months planned or committed to the project by the individual. If there is no potential overlap, enter N/A in this field. There is no character limit for this field.

In-Kind Contributions

In this section, please disclosure ALL in-kind contributions related to current and pending support. In-kind contributions include, but are not limited to, office/laboratory space, equipment, supplies, and employee or student resources.

***Status of Support:** Select the appropriate status type as defined below:

- Current – all in-kind contributions obligated from whatever source irrespective of whether such support is provided through the proposing organization or is provided directly to the individual.
- Pending – all in-kind contributions currently under consideration from whatever source irrespective of whether such support is provided through the proposing organization or is provided directly to the individual.

***In-Kind Contribution Start Date:** Indicate the start date (MM/YYYY) of the in-kind contribution proposed/approved.

***In-Kind Contribution End Date:** Indicate the end date (MM/YYYY) of the in-kind contribution as proposed/approved.

***Source of Support:** Identify the entity (entities) that is providing the in-kind contribution. Include, for example, Federal, State, Tribal, territorial, local, foreign, public, or private foundations, non-profit organizations, industrial or other commercial organizations, or internal funds allocated toward specific projects.

***Summary of In-Kind Contribution(s):** Enter a summary of the in-kind contribution not intended for use on the project/proposal being proposed to the Federal Research Agency, whether or not it has an associated time commitment. If the time commitment or dollar value is not readily ascertainable, reasonable estimates should be provided. There is no character limitation for this field.

***Person-Month(s) (or Partial Person-Months) Per Year Committed to the Project:** Enter how much time the individual anticipates is necessary to complete the scope of work on the proposed project or award. Enter the number of person-months (even if unsalaried) for the current budget period and enter the proposed person-months for each subsequent budget period. If the individual is reporting person-months that span two calendar years, the individual should enter the latter year. For example, if the entry covers the organization's fiscal year of June 2023 through May 2024, the individual would enter "2024" for the year and include the corresponding person-months as defined and used by the organization in proposals submitted to the Federal research

funding agency. If the time commitment is not readily ascertainable, reasonable estimates should be provided.

***US Dollar Value of In-Kind Contribution:** Enter the US dollar value of the in-kind contribution. If the dollar value is not readily ascertainable, a reasonable estimate should be provided. If the support is in a foreign country's currency, convert to US dollars at time of submission, rounded to the nearest dollar.

***Overall Objectives:** Provide a brief statement of the overall objectives of the in-kind contribution(s). This field is limited to 1500 characters.

***Statement of Potential Overlap:** Enter a description of the potential overlap with any current or pending in-kind contribution and this proposal in terms of scope, budget, or person-months planned or committed to the project by the individual. If there is no overlap, then enter "NA" in the field. There is no character limitation for this field.

***Certification**

When the individual signs the certification on behalf of themselves, they are certifying that the information is current, accurate, and complete. This includes, but is not limited to, information related to current, pending, and other support (both foreign and domestic) as defined in 42 U.S.C. §§ 6605. Misrepresentations and/or omissions may be subject to prosecution and liability pursuant to, but not limited to, 18 U.S.C. §§ 287, 1001, 1031 and 31 U.S.C. §§ 3729-3733 and 3802.

Signature:

Date: